



Docket No. 206269US0PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shigeru KAWAHARA, et al.

SERIAL NO: 09/830,159

GAU: 1621

FILED: June 27, 2001

EXAMINER: Zucker

FOR: CRYSTALLIZATION PROCESSES FOR THE FORMATION OF STABLE CRYSTALS OF ASPARTAME DERIVATIVE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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### LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/Applicant</u>
00010-1085-0 CONT	6,335,461	01/01/02	AMINO, et al.
192122US0 CONT	6,613,933	09/02/03	NAGASHIMA, et al.
192116US0 CONT	6,346,642	02/12/02	KISHISHITA, et al.
192123US0 CONT	6,444,251	09/03/02	KISHISHITA, et al.
192697US0 PCT	6,706,304	03/16/04	ISHIDA, et al.
00010-1084-0 CONT	6,316,657	11/13/01	TAKEMOTO
192740US0 PCT	6,399,132	06/04/02	ISHIDA, et al.
197752US0 CONT	09/708,007	11/08/00	KISHISHITA, et al.
197759US0 CONT	09/708,006	11/08/00	KISHISHITA, et al.
197757US0 CONT	6,372,279	04/16/02	ISHIDA, et al.
206269US0 PCT*	09/830,159	06/27/01	KAWAHARA, et al.
206257US0 PCT	09/830,158	06/27/01	KAWAHARA, et al.

\*Present Application; listed for information  
NFO/amr



Form PTO 14 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 206269US0PCT	SERIAL NO. 09/830,159		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Shigeru KAWAHARA, et al.					
		FILING DATE June 27, 2001		GROUP 1621			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,335,461	01/2002	Amino et al			
	AB	6,613,933	09/2003	Nagashima et al			
	AC	6,346,642	02/2002	Kishishita et al			
	AD	6,444,251	09/2002	Kishishita et al			
	AE	6,706,304	03/2004	Ishida et al			
	AF	6,316,657	11/2001	Takemoto			
	AG	6,399,132	06/2002	Ishida et al			
	AH	6,372,279	04/2002	Ishida et al			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							